

10/16/00

10-17-00

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

Only for new nonprovisional applications under 37 CFR  
1.53(b))

Attorney Docket No. 0909-4652

First Named Inventor or Application Identifier

Kazuhito Shimoda

Express Mail Label No: EL654783320US

JC920 U.S. PTO  
09/688541  
10/16/00

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application  
contents.

1.  Specification Total Pages 27

2.  Drawing(s) (35USC 113) Total Pages 15

3.  Declaration and Power of Attorney Total Pages 4

a.  Unexecuted(original or copy)

b.  Copy from prior application (37CFR 1.63(d))  
(for continuation/divisional with Box 14  
completed)

[Note Box 4 Below]

i.  **DELETION OF INVENTOR(S)**  
Signed statement attached deleting  
Inventor(s) named in the prior  
application, see 37 CFR 1.63(d)(2) and 1.33(b).

4.  Incorporation By Reference (usable if Box 3b is checked)  
The entire disclosure of the prior application, from which  
a copy of the oath or declaration is supplied under Box 3b,  
is considered as being part of the disclosure of the  
accompanying application and is hereby incorporated by  
reference therein.

**ACCOMPANYING APPLICATION PARTS**

5.  Assignment Papers (cover sheet & documentation)

6.  Letter under 37 CFR 1.41(c).

7.  English Translation Document (if applicable)

8.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations

9.  Preliminary Amendment

10.  Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)

11.  Small Entity  Statement filed in prior Application,  
Statement(s) Status still proper and desired

12.  Certified copy of Japanese priority document No. P11-  
294406 filed October 15, 1999

## 14. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

Continuation  Divisional  Continuation-in-part (CIP)  of prior application No:

**CLAIMS AS FILED**

(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) BASIC FEE \$710.00
TOTAL CLAIMS	20	8	18.00	0.00
INDEPENDENT CLAIMS	03	2	80.00	0.00
ANY MULTIPLE DEPENDENT CLAIMS?			0.00	
<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO			TOTAL FEE	\$710.00

The Commissioner is hereby authorized to charge any additional fees which may be required in connection with this application, or credit any overpayment to ACCOUNT NO. 19-3140. A duplicate copy of this sheet is enclosed.

A check in the amount of \$710.00 to cover the filing fee is enclosed.

15. CORRESPONDENCE ADDRESS: David R. Metzger  
SONNENSCHEIN NATH & ROSENTHAL  
P.O. Box #061080  
Wacker Drive Station, Sears Tower  
Chicago, IL 60606-1080  
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SIGNATURE



David R. Metzger (Reg. No. 32,918)

DATE October 16, 2000

EXPRESS MAIL #EL654783320US

10/16/00  
09/688541  
U.S. PTO  
JC920

**CERTIFICATE OF MAILING BY "EXPRESS MAIL"**

"Express Mail" Mailing Label Number: EL654783320US

Date of Deposit: October 16, 2000

I hereby certify that this correspondence is being deposited with the United States Postal "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to The Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Applicant(s): Kazuhito Shimoda, et al.

Attorney Docket No. 09792909-4652

\$710.00 Filing Fee (8 claims-2 independent)

Drawings (15 sheets - Figs. 1-15)

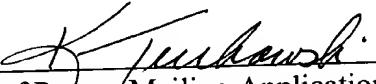
Unexecuted Declaration

Letter Under Rule 37

Preliminary Amendment

Certified copy of Japanese priority application No. P11-294406 filed October 15, 1999

Return postcard

  
Signature of Person Mailing Application and Fees



David R. Metzger  
312/876-2578

October 16, 2000

October 16, 2001  
Assistant Commissioner of Patents  
Washington, D.C. 20231  
U.S. Application

RE: New U.S. Application for Letters Patent entitled  
"APPARATUS AND METHOD FOR PRODUCING OPTICAL  
COMPONENT"  
Kazuhito Shimoda, et al.  
702909-4652

Dear Sir

Dear Sir

Under the provisions of 37 C.F.R. §1.41(c), I am filing the attached application, including 8 claims (2 independent), 15 sheets of drawings (Figs. 1-15) and \$710.00 filing fee on behalf of

KAZUHITO SHIMODA and JUNICHI OHSAKO

and request that the application be assigned a serial number and filing date pursuant to the provisions of 37 C.F.R. §1.53(b) and 37 C.F.R. §1.53(d).

Very truly yours,

SCHEIN NATH & ROSENTHAL

Very truly yours,  
SONNENSCHEIN NATH & ROSENTHAL

By:

David R. Metzger

Enclosures